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TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	10/733,073	
	Filing Date	December 10, 2003	
	First Named Inventor	Hugh S. Njemanze	
	Group Art Unit Number	2131	
	Examiner Name	Not Yet Known	
Total Number of Pages in This Submission	7*	Attorney Docket Number	25137-11332

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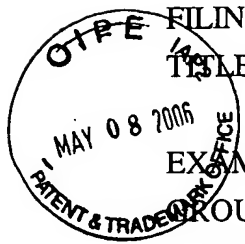
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Hugh S. Njemanze
APPLICATION NO.: 10/733,073
FILING DATE: December 10, 2003
TITLE: Synchronizing Network Security Devices Within a Network
Security System
EXAMINER: Not Yet Known
GROUP ART UNIT: 2131
ATTY. DKT. NO.: 25137-11332



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INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this

certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(d); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
HUGH S. NJEMANZE

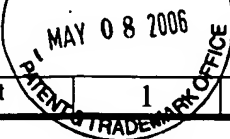
Dated: 5-2-06

By: 

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT



Complete if Known

Application No.	10/733,073
Filing Date	December 10, 2003
First Named Inventor	Hugh S. Njemanze
Art Unit	2131
Examiner Name	Not Yet Known
Attorney Docket Number	25137-11332

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-2004/0221191 A1	11-04-2004	Porras et al.
	A2	US-6,711,615	03-23-2004	Porras et al.
	A3	US-6,708,212	03-16-2004	Porras et al.
	A4	US-6,704,874 B1	03-09-2004	Porras et al.
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	A6	US-2004/0010718 A1	01-15-2004	Porras et al.
	A7	US-2003/0093514 A1	05-15-2003	Valdes et al.
	A8	US-2003/0093692 A1	05-15-2003	Porras
	A9	US-2003/0101358 A1	05-29-2003	Porras et al.
	A10	US-6,484,203	11-19-2002	Porras et al.
	A11	US-6,321,338	11-20-2001	Porras et al.
	A12	US-5,717,919	02-10-1998	Kodavalla et al.

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	C1	ARCSIGHT, "About ArcSight Team," date unknown, [online] [Retrieved on October 25, 2002] Retrieved from the Internet <URL: http://www.arcsight.com/about_team.htm >.	
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Examiner Signature	Date Considered
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25137/11332/DOCS/1617968.1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	10/733,073
Filing Date	December 10, 2003
First Named Inventor	Hugh S. Njemanze
Art Unit	2131
Examiner Name	Not Yet Known
Attorney Docket Number	25137-11332

Sheet 2 of 3

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	C4	ARCSIGHT, "Enterprise Coverage: Technology Architecture," date unknown, [online] Retrieved from the Internet <URL: http://www.snaio.com/Documentation/Arcsight/arcsight_archdta.pdf >.	
	C5	ARCSIGHT, "Managed Process: ArcSight Reporting System," date unknown, [online] Retrieved from the Internet <URL: http://www.snaio.com/Documentation/Arcsight/arcsight_reportsys.pdf >.	
	C6	ARCSIGHT, "Managed Process: Console-Based Management," date unknown, [online] Retrieved from the Internet <URL: http://www.snaio.com/Documentation/Arcsight/arcsight_console.pdf >.	
	C7	ARCSIGHT, "Precision Intelligence: SmartRules™ and Cross-Correlation," date unknown, [online] Retrieved from the Internet <URL: http://www.snaio.com/Documentation/Arcsight/arcsight_correlation.pdf >.	
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Examiner Signature		Date Considered	
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STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/733,073
Filing Date	December 10, 2003
First Named Inventor	Hugh S. Njemanze
Art Unit	2131
Examiner Name	Not Yet Known
Attorney Docket Number	25137-11332

Sheet	3	of	3
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OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

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		http://www.svic.com/papers/pdf/Got-Correlation_rmalization.pdf .	
	C21	CHEUNG, S. et al., "EMERALD Intrusion Incident Report: 601 Message Specification," August 10, 2000, System Design Laboratory, SRI International.	
	C22	National Institute of Standards and Technology (NIST), "Federal Information Processing Standards Publication (FIPS PUB) 199: Standards for Security Categorization of Federal Information and Information Systems", February 2004.	
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